

Investment Change Form












Instructions

Print clearly in all CAPITAL LETTERS using blue or black ink.

When requested, please color in circles completely.

The following changes may be made on this form. Selective changes may be made via our website or by telephone, as indicated.


- Subsequent Contributions  
- Elected Investment Allocation  
- Bi-annual Exchange  
- Systematic Exchange  
- Bank Account Information 

If you have any questions, please call us at **1.877.EdPlan8 (1.877.337.5268)** Monday through Friday from 6am to 6pm, Mountain Time.

Please mail or fax the completed form with any required documents to the following address (cannot fax if you filled in section 8):

The Education Plan®
P.O. Box 173691
Denver, CO 80217
Fax: 1.303.768.6529

 Indicates change can be made at **TheEducationPlan.com**

 Indicates change can also be made by calling **1.877.EdPlan8 (1.877.337.5268)**

1 | Current Account Information

All information in this section is REQUIRED.

Account number	Social Security or Taxpayer ID number	
Account Owner's first name	Middle initial	Last name
() Account Owner's phone number	Account Owner's email address	
Designated Beneficiary's first name	Middle initial	Last name
Beneficiary's Social Security or Taxpayer ID number		

2 | Subsequent Contributions

Changes to, or termination of an AIP must occur at least 5 business days before cycle date.

Automatic Investment Plan (AIP)

To create or maintain your scheduled, recurring purchases from your bank account, please complete all the information below.

Update my existing Automatic Investment Plan (if providing new bank information, please complete Section 5)

Establish a new Automatic Investment Plan with new bank information (please complete Section 5)

Amount invested each period _____

Frequency (check one): Monthly Quarterly

Timing: Invest funds on the _____ day of the month.

(If not provided, the investment will occur on the 10th of the month.)

Discontinue my Automatic Investment Plan

One-time Electronic Funds Transfer (Automated Clearing House (ACH) option)

Electronic transfer immediately from your bank account. Complete banking instructions in Section 5.

\$ _____ amount to be transferred

3 | Bank Account Information

Bank account information is required to establish an Automatic Investment Plan or an Electronic Funds Transfer from your bank account.

You must include a voided bank check (no starter checks) or a preprinted savings slip for a savings account. (Please do not tape or staple it to this application.)

If bank account owner is different than the 529 account owner, please complete the Signature Guarantee.

Your signature(s) must correspond in every particular instance, without alteration, with your name(s) as printed on the current account registration.

Acknowledgment of signature by a notary public is NOT acceptable. Please affix signature guarantee stamp with appropriate signature, title of officer and date.

Your bank account will be debited approximately two business days prior to the date(s) you select or the next business day following the weekend or holiday. Please allow 10 days to process debit(s) from your account.

Indicate account type: Checking Savings

I authorize OFI Private Investments Inc. (OFIPI) to debit and/or credit my bank account for purchases and redemptions of units of the portfolio(s) specified. I understand that if I redeem units that have been purchased through a direct link from my bank account to my account within the last 10 days, my redemption proceeds of those units may be delayed up to 10 days to determine that the purchase payment has cleared the bank. I agree that OFIPI is purchasing and redeeming such units voluntarily at my request and shall not be liable for any loss arising from any delay in processing or failure to process such purchases and/or redemptions. I understand that this service does not constitute an offer to sell units of any portfolio.

If I change banks, I agree to notify OFIPI promptly in writing. I agree to give adequate notice (normally 15 days) to terminate this service. I understand that if a transaction cannot be made because of insufficient funds or unit balance or because either account has been closed, this service will be cancelled and I agree to return promptly any amount overpaid to me from a redemption of units purchased with that payment. I understand that any of the features and privileges described herein may be modified, suspended or cancelled by OFIPI or the plan at any time without notice and that all services described herein are subject to the terms of The Plan Description and Participation Agreement, which I acknowledge I have received and read.

Please print and sign exactly as your name(s) appears on your plan account.

Name of Bank Account Owner

Name of Bank Account Owner

Signature of Bank Account Owner

Signature of Bank Account Owner

A signature guarantee is a warranty by a participant in a Securities Transfer Association Signature Guarantee Program that the signature is genuine and that the person signing is competent and authorized to sign. Many domestic banks or trust companies, credit unions, brokers, dealers, national securities exchanges, registered securities associations, clearing agencies or savings associations participate in such programs.

A signature guarantee is required if:

- You are adding third party bank account information

A medallion stamp is required if:

- Your account balance is over \$100,000

Affix stamp here.

X _____
Signature of guarantor

Title

Date

Please indicate below how you would like to change the allocation of future contributions or exchange the funds currently invested in your account.

- Future Contributions—Selecting this option will not change your current investment allocation. To exchange assets between current portfolios (Annual Exchange), proceed to Page 4.
- Exchange—Fill out the Exchange From and Exchange To fields on page 4.

You may choose more than one Blended Age Based Track or Index Age Based Track. If you choose more than one, you will have separate account numbers.

You may exchange existing assets in each beneficiary's 529 account twice per calendar year. An exchange of assets does not affect the investment allocation of future contributions (your Elected Investment Allocation).

Portfolio Options	Exchange From	Exchange To	Future Contributions
I. Age Based Tracks <i>(One Age Based Track can be chosen per account)</i>			
Blended Growth Age Based Track	%	%	%
Blended Balanced Age Based Track	%	%	%
Index Growth Age Based Track	%	%	%
Index Balanced Age Based Track	%	%	%
II. Blended Custom Choice Portfolios			
Blended Portfolio 100	%	%	%
Blended Portfolio 90	%	%	%
Blended Portfolio 80	%	%	%
Blended Portfolio 70	%	%	%
Blended Portfolio 60	%	%	%
Blended Portfolio 50	%	%	%
Blended Portfolio 40	%	%	%
Blended Portfolio 30	%	%	%
Blended Portfolio 20	%	%	%
Blended Portfolio 10	%	%	%
Blended Portfolio 5	%	%	%
Blended Fixed Income Portfolio	%	%	%
Blended Low Duration Fixed Income Portfolio	%	%	%
Short Term Yield Portfolio	%	%	%
III. Index Custom Choice Portfolios			
Index Portfolio 100	%	%	%
Index Portfolio 90	%	%	%
Index Portfolio 80	%	%	%
Index Portfolio 70	%	%	%
Index Portfolio 60	%	%	%
Index Portfolio 50	%	%	%
Index Portfolio 40	%	%	%
Index Portfolio 30	%	%	%
Index Portfolio 20	%	%	%
Index Portfolio 10	%	%	%
Index Portfolio 5	%	%	%
Index Fixed Income Portfolio	%	%	%
Index Low Duration Fixed Income Portfolio	%	%	%
Total	%	%	100%

5 | Systematic Exchange

Pick portfolio for initial contribution.

Establishing, stopping or altering your Systematic Exchange may count as one of your two calendar year reallocation exchanges. For more detailed information about this feature, please see the Plan Description and Participation Agreement or call us at **1.877.EdPlan8 (1.877.337.5268)**.

You can automatically reallocate funds from one or more Education Plan investment portfolio to one or more different investment portfolio on a monthly, quarterly or annual basis, on a date selected by you, by completing the section below. For more detailed information about this feature, please see the Plan Description and Participation Agreement.

From which investment portfolio: _____

To which investment portfolio: _____

Exchange amount: \$ _____

Exchange frequency (choose one):

Monthly, beginning (specify month and date): _____

Quarterly, beginning (specify month and date): _____

Annually, on (specify month and date): _____

Start date (mm/dd/yyyy): _____

When would you like to stop making exchanges?

Do not stop

Stop date (mm/dd/yyyy): _____

If no stop date is chosen, the default is to continue exchanges until the originating portfolio is fully depleted.

The Systematic Exchange Plan will begin immediately upon receipt of this application in proper form. Unless specified above, exchanges will be made on the 10th day of the month or the 10th day of the first month of the quarter. If the exchange date is a weekend or holiday, the exchange will occur on or after the preceding business day. If no amount is indicated, the exchange will be done for \$100 on the date you have chosen. If an exchange frequency of annually is selected and no month is listed, the exchange will be made on December 10th.

6 | Signature

I certify that the information I have provided, and all future information I will provide with respect to my Education Plan account, is true, complete, and correct. I have received, read, and agree to the terms set forth in the Plan Description and Participation Agreement.

Signature is REQUIRED

X

Signature of Account Owner

Date

A signature guarantee is a warranty by a participant in a Securities Transfer Association Signature Guarantee Program that the signature is genuine and that the person signing is competent and authorized to sign. Many domestic banks or trust companies, credit unions, brokers, dealers, national securities exchanges, registered securities associations, clearing agencies or savings associations participate in such programs.

Your signature(s) must correspond in every particular instance, without alteration, with your name(s) as printed on the current account registration.

Acknowledgment of signature by a notary public is NOT acceptable. Please affix signature guarantee stamp with appropriate signature, title of officer and date.

A signature guarantee is required if:

- You are adding third party bank account information

A medallion stamp is required if:

- Your account balance is over \$100,000

Affix stamp here.

X _____
 Signature of guarantor Title Date

Before you mail, have you:

- Entered all required information in Section 1?
- Signed this form in Section 3 if establishing or updating your bank account information?
- Included a voided, preprinted check or savings account deposit slip as directed in Section 3, if applicable?
- Signed this form in Section 7?
- Signature Guarantee for third party bank information?

IMPORTANT NOTICE TO NEW MEXICO TAXPAYERS

As a result of recent federal tax law changes, the definition of "Qualified Higher Education Expenses" under Section 529 of the Internal Revenue Code have been expanded to include tuition expenses for K-12 Schools. K-12 Schools are elementary or secondary public, private or religious schools.

Effective January 1, this change in the federal tax law permits Account Owners to withdraw up to \$10,000 for tuition expenses from a 529 college savings account for K-12 Schools free of federal taxes. This limitation applies on a per-student basis, rather than a per-account basis. Although an individual may be the designated beneficiary of multiple accounts, that individual may receive a maximum of \$10,000 in distributions free of federal tax, regardless of whether the funds are distributed from multiple accounts.

The recent federal tax law changes also permit transfers from a 529 account to an account in a Qualified ABLÉ Program made before January 1, 2026, without subjecting the transferred amount to federal income tax on earnings, provided certain conditions are met. ABLÉ accounts are subject to an annual contribution limit (currently \$15,000). Transfers from a 529 account that cause the ABLÉ account to exceed the \$15,000 limit will be subject to federal tax. This provision applies to 529 to ABLÉ transfers made after December 22, 2017.

Under current New Mexico tax law, contributions to the New Mexico 529 plans by a New Mexico individual taxpayer may be deducted for New Mexico individual income tax purposes. In certain circumstances, the amounts deducted may be recaptured in subsequent years.

Despite the new federal law changes for tuition expenses for K-12 Schools, it is possible that such K-12 tuition expenses will not constitute Qualified Higher Education Expenses under the New Mexico tax code, thereby resulting in a recapture of any deduction related to amounts distributed for such K-12 tuition expenses.

In addition, amounts previously deducted for New Mexico income tax purposes will be recaptured if they are distributed from a New Mexico 529 plan account to a Qualified ABLÉ program, including the ABLÉ program offered in the State of New Mexico (notwithstanding that such a transfer is a Qualified Withdrawal for federal tax purposes).

Account Owners who are New Mexico taxpayers should consult their own tax advisors before making withdrawals from a New Mexico 529 plan for K-12 tuition expenses or transferring funds from a New Mexico 529 Plan to a Qualified ABLÉ Program.



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